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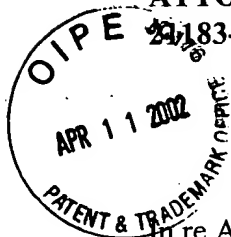
ATTORNEY DOCKET NO.

2183-P001P1

PATENT

Application No. 09/899,833

UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: Raymond W. Ellis et al.

Serial No.: 09/899,833

Filed: July 5, 2001

Group Art Unit: 2152

Examiner: Not Yet Assigned

Title: AUTOMATED TOOL MANAGEMENT IN A MULTI-PROTOCOL ENVIRONMENT

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APR 16 2002  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

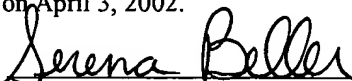
Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 3, 2002.

  
\_\_\_\_\_  
Signature

Serena Beller

(Printed name of person certifying)

**ATTORNEY DOCKET NO.**  
**21183-P001P1**

**PATENT**  
**Application No. 09/899,833**

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

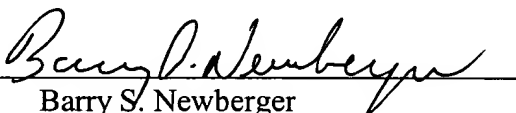
In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

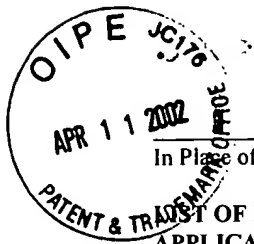
Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

By:   
Barry S. Newberger  
Reg. No. 41,527

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AUSTIN\_1\186921\1  
21183-P001P1 - 04/03/2002



In Place of FORM PTO-1449 (Modified)

**OFFICE OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: 09/899,833  
Applicants: Raymond W. Ellis et al.  
Filing Date: July 5, 2001  
Group: 2152  
Atty. Docket No.: 21183-P001P1

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
___ AAA	5,826,040	10/20/98	Fargher et al.	395	208	
___ ABA	5,453,933	09/26/95	Wright et al.	364	474.23	
___ ACA						
___ ADA						
___ AEA						
___ AFA						
___ AGA						
___ AHA						
___ AJA						
___ AJA						
___ AKA						
___ ALA						
___ AMA						
___ ANA						
___ AOA						
___ APA						

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
___ AQA	WO95/34866	12/21/95	PCT			X
___ ARA						
___ ASA						
___ ATA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

\_\_\_ AUA M. Bakalem et al. "PPS: An Integrated Object Oriented Approach for Modelling and Simulation of Manufacturing Systems," *IEEE*, 1994, pp. 2184-2189.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2152

ATTORNEY DOCKET NO.  
21183-P001P1

PATENT  
Application No. 09/899,833



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: AUTOMATED TOOL MANAGEMENT IN A MULTI-  
PROTOCOL ENVIRONMENT

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**STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. 1.97(e)**

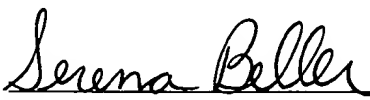
Assistant Commissioner for Patents  
Washington, D. C. 20231

**IDENTIFICATION OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Supplemental Information Disclosure Statement  
☒ accompanying this statement.  
☐ filed \_\_\_\_\_.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 3, 2002.

  
\_\_\_\_\_  
Signature

Serena Beller  
\_\_\_\_\_  
(Printed name of person certifying)

**ATTORNEY DOCKET NO.**  
**21183-P001P1**

**PATENT**  
**Application No. 09/899,833**

**STATEMENT**

2. I, the person(s) signing below state:

- that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT**

3. The person making this statement is  
(check each applicable item)

- ☐ the inventor(s) who signs below

\_\_\_\_\_  
Signature of inventor

\_\_\_\_\_  
(Type name of inventor who is signing)

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

\_\_\_\_\_  
Signature of Person Making Statement

\_\_\_\_\_  
(type name of person who is signing)

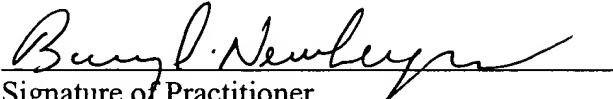
\_\_\_\_\_  
Address of person who is signing

ATTORNEY DOCKET NO.  
21183-P001P1

PATENT  
Application No. 09/899,833

☒ the practitioner who signs below on the basis of the information:  
(check each applicable item)

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the practitioner's file.

  
Signature of Practitioner

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21183-P001P1 - 04/03/2002